

Legal Audit for First Vice President Applicants

Recently the Council has instituted a policy of asking candidates for leadership positions to cooperate in a legal audit of previous matters or matters pending against them, their firms, or firms in which the candidate is a principal, partner or corporate officer.

The purpose of the audit is to assure that any issues presented by such matters are known to the Council in order that any problems these matters might present to the Council or its policies or programs can be assessed and anticipated.

Please provide information regarding the following:

1. Pending federal, state and/or county litigation and regulatory action.
 - _____ None (initial if box is checked)
 - If yes, provide details:

2. Judgements entered against the candidate or a firm in which the candidate is a principal, partner or corporate officer
 - _____ None (initial if box is checked)
 - If yes, provide details:

3. Any disciplinary action taken through NAR grievance or licensing regulatory agency against the candidate or a firm in which the candidate is a principal, partner or corporate officer
 - _____ None (initial if box is checked)
 - If yes, provide details:

4. Have you been convicted of a crime other than speeding or a misdemeanor:
 - _____ None (initial if box is checked)
 - If yes, provide details:

Please attach additional information that you feel will assist the Leadership Development Committee.

The Information for each case or regulatory proceeding should include a brief description of the substance of the complaint, the damages or sanctions sought, and the date it was filed. Also identify the lawyer or other person with whom the case can be discussed in the event of any questions.

If there are no matters in any one or more of the above three categories, the candidate may check the box marked "none" and initial the line next to the box.

All information submitted shall be reviewed by the Leadership Development Committee, and as necessary, RRC legal counsel. All information shall be treated as confidential; however, should the candidate be nominated by petition, the information submitted may be disclosed at the appropriate meeting.

Please be aware that all submissions for the legal audit are mandatory for all candidates.

Signature: _____

Date: _____

Please upload to your application by December 2, 2018